

Reportable allegations and the Residential Care Workers Register

September 2024

Recording reportable allegations on the Residential Care Workers Register

Guidance for designated agencies on how to record reportable allegations on the NSW Residential Care Workers Register (RCWR).

- [Reportable allegations and the NSW Reportable Conduct Scheme](#)
- [Reportable allegations and the RCWR](#)
- [Recording reportable allegations on the RCWR](#)
- [HRE – Approve or Decline reportable allegation](#)
- [RCD – Approve or Decline reportable allegation entry](#)
- [Agency recording a finalised outcome](#)

- [Exchange of information relating to reportable allegations](#)
 - [For more information](#)
-

Reportable allegations and the NSW Reportable Conduct Scheme

All designated agencies must have detailed policies and procedures in place to support how they will meet their obligations under the [NSW Reportable Conduct Scheme](#). The [reportable conduct scheme](#) is administered by the OCG's Reportable Conduct Directorate (RCD). This includes how a designated agency will respond to reportable allegations made against their employees.

Practice notes:

- For **reportable allegations involving criminal conduct**, an agency **must** report this to the police. It is important these matters are identified and responded to in a certain way so that any possible investigation by Police is not compromised. If an agency is unsure how to [respond to allegations](#) involving possible criminal conduct, please contact the Reportable Conduct Directorate for further guidance on (02) 8219 3800.
 - All designated agencies are prescribed bodies. Prescribed bodies can **proactively exchange information** at any time under Chapter 16A of the *Children and Young Person's (Care and Protection) Act 1998*, if it is relevant to the safety, welfare and wellbeing of children and young people.
-

Reportable allegations and the RCWR

In addition to the NSW Reportable Conduct Scheme more broadly, information about a reportable allegation **must** be recorded on the RCWR, where this has been made against a residential care worker¹. The detail recorded includes that the allegation was made and the date the agency became aware of the allegation. The RCWR is administered by the OCG's Carer and Residential Worker Monitoring Team.

Practice notes:

- The NSW Reportable Conduct Scheme requires that agencies notify the OCG of a reportable allegation within 7 days of becoming aware of this. A reportable allegation made against a residential care worker is to be recorded on the RCWR within the same timeframe.
 - The RCWR was introduced to support the sharing of information that is relevant to the safety, welfare or wellbeing of children. At any time, following receipt of a reportable allegation, a designated agency may share any relevant information it holds with another designated agency, under Chapter 16A of the *Children and Young Persons (Care and Protection) Act 1998*. Chapter 16A and the Other Agency Check (OAC) are two separate functions. If an agency is sharing or requesting information during the process of managing a reportable allegation matter, this is permitted under the provisions of Chapter 16A.
-

Recording a reportable allegation on the RCWR

Where a designated agency becomes aware that a reportable allegation has been made against a residential care worker who is currently engaged by the agency, the agency must **record a 'reportable allegation' flag**. This can be done by an agency user who is the Primary Administrator,

¹ Schedule 6, Dictionary of *Children's Guardian Act 2019* and section 4 of *Children's Guardian Regulation 2022*

General Administrator, or Reportable Allegation Officer. Once the reportable allegation has been entered, an automated email notification will be sent to the agency's Head of Relevant Entity (HRE) user to approve or decline.

Practice notes:

- The designated agency responsible for recording a reportable allegation onto the RCWR must enter the necessary information **within 7 business days** of the HRE becoming aware of the allegation.
- For **reportable allegations where the worker is engaged by multiple agencies**, only **one** agency is required to record the reportable allegation flag on the RCWR. This will usually be the agency that was engaging the residential care worker when and/or where the conduct is alleged to have occurred. This is often the agency who will take the lead in investigating the allegation. If this is not the case, the agencies can discuss this and come to an agreement on who will conduct the investigation and record the matter on the RCWR.
- The agency must answer Yes to the following questions to proceed with entry of a reportable allegation:
 - Was the residential care worker still engaged with your agency when the reportable allegation was raised, and
 - Was the alleged victim under 18 years of age at the time of alleged conduct.
- If a decision is made to terminate a worker's employment or a worker resigns following a reportable allegation being recorded on the RCWR, the agency is required to **end date the worker** on the RCWR. The agency should then continue to investigate the allegation as per obligations under the reportable conduct scheme.
- When recording a reportable allegation, consideration must be given to proactively sharing relevant information with any other agencies who are also currently engaging the worker.
- The agency's Reportable Allegation Alert email on the RCWR must be maintained as current, to support automated notifications built into the functionality of the register.
- Effective 1 September 2022, **Class or Kind arrangements are no longer in place**. All reportable allegations must be lodged as *Reportable to the Children's Guardian*.

HRE user - Approve or Decline a reportable allegation

Once the reportable allegation has been entered onto the RCWR, an automated email notification will be sent to the agency's Head of Relevant Entity (HRE) user to approve or decline the allegation entry. The HRE has responsibility to assess whether the residential care worker's alleged conduct **meets the definition and threshold of a reportable allegation**.

Practice notes:

- The reportable allegation will remain as 'pending' until the HRE records a decision. At this stage, it also remains visible only to the recording agency.
- If the reportable allegation **does** meet the threshold for a reportable allegation, the **HRE action** is to press the '**approve**' button.
- Once **approved**, an automated email notification will be sent to the RCD to notify them and so they can consider whether the matter falls within jurisdiction.
- If the reportable allegation **does not** meet the threshold of a reportable allegation, the **HRE action** is to press the '**decline**' button. This will close the matter and the reportable allegation will not appear against the named employee.

- If any new information comes to light after making a decision to Decline, the agency is able to commence entry of a new reportable allegation.

RCD – Approve or Decline a reportable allegation entry

Once the RCD have received notification of a reportable allegation entry onto the RCWR, this will be assessed, and an outcome will be recorded. This will be to either Approve or Decline the entry.

Practice notes:

- If the reportable allegation **does** meet the threshold and jurisdiction for a reportable allegation, this will be **Approved** by the RCD. Once approved, an automated email notification will be sent from the RCWR to the agency advising of this. The reportable allegation status on the RCWR will change to **‘Current’**.
- If the residential care worker is currently engaged by any other agencies on the RCWR, an email notification will also be sent to that other agency’s Reportable Allegation Alert email, advising that the allegation has been entered. This will support information sharing between the two agencies, if this has not already commenced. Information sharing of this nature is permitted under Chapter 16A.
- If the reportable allegation **does not** meet the threshold and jurisdiction for a reportable allegation, this will be **Declined** by the RCD. If declined, an automated email notification will be sent to the agency’s HRE user advising of this. If the HRE agrees with the RCD decision, the **HRE must also Decline** the entry. This will close the matter and the reportable allegation **will not appear against the named employee**. If the HRE **‘approves’** this record **it will return to the RCD for assessment again**.

Agency recording a Finalised outcome

Once the agency has finalised an investigation into a reportable allegation, it must **record the finalised outcome** within **14 business days of the outcome decision**. Once the finalised outcome has been entered, an email notification will be sent to the agency’s **Head of Relevant Entity (HRE)** user to **approve** the finalised outcome.

Practice notes:

- The designated agency should determine whether there are any ongoing risks or information that should be shared if the individual became associated with another agency. The critical factor is not whether there has been a finding of reportable conduct, but whether there is information that may relate to the safety, welfare or wellbeing of a child or children in out of home care more generally.
- The finalised outcome options are:
 - **FINALISE – CONTACT AGENCY**
If a designated agency holds any information relevant to ongoing risks to children, it should select ‘finalise – contact agency’ on the RCWR. This indicates that the agency has sufficient evidence to be satisfied that it holds information to exchange that may relate to the safety, welfare or wellbeing of a child, or class of children in out-of-home care.

This information, including a clear rationale of why the agency has determined this information is relevant to the safety, welfare and wellbeing of children should be documented by the agency and readily available to exchange as necessary. The Other Agency Check Part 2 form may be appropriate, including where a worker has been end

dated.

In these cases, the record relating to the reportable allegation will be viewable on the RCWR by other designated agencies who have an association with the same worker.

- **FINALISE – NO RECORD**

If the agency has determined that it does not hold any information relevant to ongoing risk posed by the worker to a child or class of children, it should select 'finalise – no record'.

In these cases, the record relating to the reportable allegation will not be able to be viewed on the RCWR by another designated agency.

- The finalised outcome can be recorded even after a residential care worker has been end dated.
- The finalised outcome can be changed from finalised – contact agency to finalised-no record or vice versa at any time if this is appropriate. **Any change to the finalised status requires HRE approval.** When recording the finalised outcome for a reportable allegation matter, the agency must **consider the need to proactively share information with other agencies who are also engaging the worker.**

Exchange of information relating to reportable allegations

16A exchange of information:

At any time, following receipt of a reportable allegation, a designated agency may share any relevant information it holds with another designated agency, under Chapter 16A of the *Children and Young Persons (Care and Protection) Act 1998*. Similarly, if an agency receives an automatic alert to its RCWR Reportable Allegation Alert email to advise that a reportable allegation has been lodged on the RCWR against an individual they are also currently engaging, they may proactively request information from the other designated agency. Any exchange of information must relate to the safety, welfare or wellbeing of children.

Other Agency Check and exchange of information:

The Other Agency Check is a mandatory probity check, to be completed as part of initial entry of an applicant onto the RCWR. The Other Agency Check supports the request for, and exchange of relevant information, between designated agencies who have an association with the same individual. Any relevant information held by an agency in relation to a reportable allegation may be exchanged in response to an Other Agency Check request, using the approved Part 2 template form.

For more information

- The most appropriate way for a residential care worker to obtain information about a reportable allegation made against them is through correspondence and meetings with the agency they are or have been employed with. Further information for employees, along with other useful factsheets can be found [here](#).
- More information about [NSW Reportable Conduct Scheme](#) is available.
- More information about [reportable allegations](#) and the Residential Register.
- The Reportable Conduct Directorate can be contacted at reportableallegations@ocg.nsw.gov.au or (02) 8219 3800
- The Carer and Residential Worker Monitoring team can be contacted at residential-register@ocg.nsw.gov.au or (02) 8219 3888

Office of the Children's Guardian

www.ocg.nsw.gov.au

Carer and Residential Worker Monitoring team: (02) 8219 3888

Reportable Conduct Directorate: (02) 8219 3800.

Locked Bag 5100

Strawberry Hills NSW 2012